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Effects of yoga-based interventions on cognitive function in healthy older adults: A systematic review of randomized controlled trials

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A B S T R A C T

Background: The world’s elderly population is growing. Physical activity has positive effects on health and cognition, but is decreasing among the elderly. Interest in yoga-based exercises has increased in this population, especially as an intervention targeting balance, flexibility, strength, and well-being. Recent interest has arisen regarding yoga’s potential benefits for cognition.

Objective: To systematically review evidence from randomized controlled trials (RCTs) examining the effects of yoga-based interventions on cognitive functioning in healthy adults aged ≥60. A secondary aim was to describe intervention characteristics and, where possible, the extent to which these influenced study outcomes.

Method: The review was conducted in accordance with PRISMA guidelines. Searches were performed from inception to June 2020 using the following electronic databases: (1) PubMed (NLM); (2) Embase (Elsevier); (3) Cochrane Central (Wiley); (4) PsycINFO (EBSCOhost); and (5) Cinahl (EbscoHost). Inclusion criteria: RCTs of yoga-based interventions assessing cognition in healthy adults ≥60 years. Risk of bias was assessed using the revised Cochrane risk of bias tool.

Results: A total of 1466 records were initially identified; six studies (5 unique trials) were included in the review. Four of the six articles reported significant positive effects of yoga-based interventions on cognition, including gross memory functioning and executive functions. Intervention characteristics and assessment methods varied between studies, with a high overall risk of bias in all studies.

Conclusion: Yoga-based interventions are associated with improvements in cognition in healthy older adults. Adequately powered RCTs with robust study designs and long-term follow-ups are required. Future studies should explicitly report the intervention characteristics associated with changes in cognitive function.

1. Introduction

Aging is accompanied by a gradual decrease in mental capacity affecting cognitive ability. The World Health Organization (WHO) estimates that the proportion of the world’s population aged over 60 years will increase from 12 % to 22 % between 2015 and 2050. 1 Accumulating evidence suggests that a physically active lifestyle plays a key role in healthy aging, improving both physical and cognitive function 2,3 and protects against cognitive decline. 4–7 Despite the known benefits, physical activity levels decrease with age, 8 and this reduction in associated with worse physical and mental health. 9 Adherence, commonly defined as participant completion of a prescribed exercise routine, inconsistently reported in exercise studies examining older adults’. 10 Exploring new strategies and modes of physical activity that promote healthy aging and increase exercise adherence in older adults are important aspects of public health promotion. Yoga is commonly used as a form of exercise that combines physical postures with breathing exercises and meditation and targets specific needs in older people, such as balance training, flexibility, and strength. 2,11–13 Different types of yoga emphasize these elements to a greater or lesser extent. 12 In earlier research, older adults have described yoga as an enjoyable all-round workout suitable for their age group. 14 Additionally, older adults report that participation in yoga has benefited their physical, mental, and social health. 15

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Participation in yoga-based practices have increased during the last decades, along with yoga related research. There is some evidence that the health benefits of yoga-based interventions are comparable to those of aerobic exercise. It is hypothesized that yoga improves mental and cognitive health. Recent reviews and meta-analyses show that yoga can benefit several mental and physical health outcomes in older populations, compared to both active and inactive control groups. However, reviews of yoga-based interventions on cognition are sparsely published and findings have been inconsistent. Two recent systematic reviews and a meta-analysis concluded that yoga interventions improved cognitive functions such as attention and processing speed, memory, and higher-order executive functions in a variety of populations, including older adults. Another systematic review and meta-analysis found no effect of yoga-based interventions on cognition in older adults. Chen et al. showed that the effect of exercise on executive function in older adults was moderated by the type of exercise, and that yoga and tai chi interventions showed the second largest effect size, after other forms of exercise (e.g., dance, coordination exercises), compared to resistance exercise and aerobic exercise, and combined exercise. Another meta-analysis found no association between yoga practice and cognition among older adults.

Within the context of physical public health and exercise prescription guidelines, it is important to understand yoga-based interventions characteristics from a physical activity perspective. Several authors highlight the importance of exercise prescription variables being assessed in physical activity interventions to improve cognition. These variables, which include duration, frequency, intensity, and type of exercise, are referred to collectively as the FITT-principle. Here, we refer to them as ‘intervention characteristics’. Cross-sectional and longitudinal data suggests that there is a relationship between exercise intensity and cognitive outcomes, or lack of cognitive decline in older adults, with moderate and high intensity physical activity associated with less cognitive decline. Other parameters such as the mode, quality, and other dose-response variables may also moderate the effect of exercise on cognition, but studies rarely report these variables. These omissions make it difficult to assess the effects of intervention characteristics on cognition.

Yoga-based interventions can be highly variable and often consist of several components beyond physical activity, such as breathing exercises and meditation practices, making these study characteristics important to document. It is necessary to understand the impact of the physical activity component in yoga-based interventions, as well as the impact of the other components, particularly as meditation practices alone have been found to have a positive effect on cognition in older adults.

To our knowledge, no previous review has synthesized the findings from randomized controlled trials of the effects of yoga-based interventions on cognition in healthy older adults, including the characteristics of the yoga-based interventions. The main aim of this systematic review was to examine the effect of yoga-based interventions on cognitive functioning in adults aged ≥60. A secondary aim was to describe intervention characteristics and, where possible, the extent to which these influenced study outcomes.

2. Methods

We conducted a systematic review of the literature in accordance with Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines, which was pre-registered on Prospero July 25, 2019 (ID: CRD42020140158).

2.1. Search strategy

Searches were performed during June 2019 and updated in June 2020 in accordance with Cochrane recommendations for conducting timely systematic reviews. The following electronic databases were searched: (i) PubMed (NLM); (ii) Embase (Elsevier); (iii) Cochrane Central (Wiley); (iv) PsycINFO (EBSCOhost); and (v) Cinahl (EBSCOhost).

We enlisted the Karolinska University library to develop a detailed search strategy where multiple search terms were used for cognition (e.g., ‘cognition’, ‘executive functioning’, ‘memory’, ‘attention’, ‘processing speed’) with ‘yoga’ and ‘elderly’, ‘older’. As Hatha yoga is an important component of the commonly studied Mindfulness-Based Stress Reduction (MBSR), Body Therapy (MBT) program, we also searched MBT and cognition. Complete search terms are presented as Supplementary material 1. Additionally, individual searches in the abovementioned databases were performed; reference lists of retrieved articles were searched through by one reviewer (SH).

2.2. Eligibility criteria

The eligibility criteria for studies were as follows: (i) Participants: Healthy adults aged ≥60, free of known diseases and medical conditions; (ii) Intervention and comparison: Studies comparing yoga to active or no intervention control groups were included. Studies with a second yoga-based intervention as a control group, or studies involving mindfulness, meditation or breathing practices without including yoga postures were excluded; (iii) Outcomes: Studies that assessed one or more domains of cognitive functioning using a validated instrument. All validated neuropsychological tests were accepted as measures of cognitive function; (iv) Study design: Published and peer-reviewed experimental studies with a randomized controlled design that were written in English were included. Observational studies and other designs were excluded. Finally, there were no date restrictions.

2.3. Study selection

All records were screened by two independent reviewers (JÖ, SH) and were carried out in two stages. First, title and abstract were screened using Endnote reference managing software (version X9, Clarivate Analytics, 2018) where duplicates and obviously irrelevant studies were removed. Second, full texts of studies passing the first stage were screened in further detail. A third reviewer (MH) was called upon when a decision could not be made regarding an article’s eligibility. Further details are shown in Fig. 1.

2.4. Analysis

Data was extracted and compiled into a table presenting study characteristics (Table 1). For quantitative outcomes available across three or more trials, a meta-analysis was planned to estimate the pooled effects of the yoga-based interventions compared to controls. However, due to heterogeneity of the studies, data were instead synthesized using a formal narrative approach.

2.5. Risk of bias assessment

Risk of bias was assessed independently by two researchers (JÖ, SH) using the revised Cochrane risk of bias (RoB) tool, version 2. The assessed domains were: randomization process (selection bias), deviations from intended interventions (performance bias), missing outcome data (attrition bias), measurement of the outcome (measurement bias), selection of the reported result (reporting bias), and overall bias. Under each domain, studies were classified as ‘low’, ‘some concerns’ or ‘high’ risk of bias. The final judgement of each reviewer followed the algorithm guide as part of the revised RoB 2 tool. Discrepancies were resolved through a third reviewer (MH), who was blinded to the other researchers’ assessments.
3. Results

A total of 1466 records were initially identified through the data searches. After the first stage of screening, 22 articles were retrieved for full-text review. Out of these, only five studies were included in the systematic review. The second search gave 399 records, of which 10 were retrieved for full-text review. None of these articles were included, nor from the searches through reference lists. One of the additional searches resulted in the inclusion of one article. In total, six articles including five original studies were identified for final inclusion; see Fig. 1.

3.1. Study and participant characteristics

The six articles included were RCTs from the USA, India, Lithuania, and multiple countries from the Asia Pacific region and Africa. The number of participants ranged from 33 to 792; mean age = 62–76 years. Most participants were female ranging between 60–90 % of the study populations. Only one study had an even distribution between men and women. All study details are shown in Table 1.

3.2. Intervention characteristics

The yoga intervention characteristics (type, frequency, duration, intensity, length of intervention) varied between studies with; 1–7 sessions per week, 40–90 min per session, and the length of intervention varying between 8–260 weeks. Three studies used hatha yoga, one study used iyengar yoga, and one did not specify the style of yoga. The intensity level was not reported in any of the included studies. All studies stated that the yoga-based interventions included meditation practices and breath awareness techniques; however, the proportion of each component (physical postures, breathing, and meditation exercises) was not specified. All interventions were delivered in person by a qualified yoga instructor. Home practice was included in two of the studies, but was not the primary mode of delivery (Table 1).
<table>
<thead>
<tr>
<th>Study, Country</th>
<th>Participants (number, mean age)</th>
<th>Intervention (type, frequency, duration, intensity, length of intervention)</th>
<th>Control group(s)</th>
<th>Intervention delivery format</th>
<th>Adherence</th>
<th>Outcome measures and test protocol</th>
<th>Findings</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cekanauskaitė 2020, Lithuania [48]</td>
<td>N = 33 Mean age (SD): 66.9 (6.0) years</td>
<td>Yoga (no style reported), 2 times a week, 90 min per session, 10 weeks, no intensity level reported</td>
<td>IC: No intervention</td>
<td>Group classes with yoga instructor</td>
<td>Participants attended 96.4 % of classes</td>
<td>Cognitive functions of mental flexibility, verbal working memory, response inhibition, and visuospatial processing. Tests: ANAM test Executive functions of working memory and task switching. Tests: Task switching paradigm, running memory span, and n-back task (partial recall score) following yoga</td>
<td>No effect of yoga compared to the no intervention control group</td>
</tr>
<tr>
<td>Gothe 2014, USA [43]</td>
<td>N = 108 Mean age (SD): yoga group = 62.1 (5.82); control group = 62.0 (5.39) years</td>
<td>Hatha yoga, 3 times a week, 60 min per session, 8 weeks, no intensity level reported</td>
<td>AC: Stretching-strengthening exercise 3 times a week, 60 min per session, 8 weeks, no intensity level reported</td>
<td>Group classes with yoga instructor with progressions over time</td>
<td>Participants attended 81 % of classes.</td>
<td>The same rate was reported for the stretching-strengthening control</td>
<td>Significant improvement on task switching (mixed and repeat RT) n-back task (ACC of 2-back and single trials), and running span task (partial recall score) following yoga</td>
</tr>
<tr>
<td>Gothe 2017, USA [44]</td>
<td>N = 108 Mean age (SD): yoga group = 62.1 (5.82); control group = 62.0 (5.39) years</td>
<td>Hatha yoga, 3 times a week, 60 min per session, 8 weeks, no intensity level reported</td>
<td>AC: Stretching-strengthening exercise 3 times a week, 60 min per session, 8 weeks, no intensity level reported</td>
<td>Group classes with yoga instructor with progressions over time</td>
<td>Participants attended 81 % of classes.</td>
<td>The same rate was reported for the stretching-strengthening control</td>
<td>Significant improvements in RT in ANT, improvements in visuospatial and perceptual processing in TMT B, and in pattern comparison following yoga</td>
</tr>
<tr>
<td>Hariprasad 2013, India [46]</td>
<td>N = 87 Mean age (SD): yoga group = 75.74 (6.46); control group = 74.78 (7.35) years</td>
<td>Hatha yoga, 7 times a week 1st month, 1 time per week 2nd, 3rd month, last three months home practice, 60 min per session first three months, 26 weeks, no intensity level reported</td>
<td>IC: Waitlist</td>
<td>Ability to perform yoga was assessed by the yoga instructor at the end of 1st, 3rd, and 6th month</td>
<td>Attendance rates were not reported, and the authors stated that participants failed to report this using self-report logs</td>
<td>Cognitive functions of attention and processing speed</td>
<td>Significant improvements in immediate and delayed recall of verbal (RAVLT), visual memory (CFT), attention and working memory (WMS-spatial span), verbal fluency (COWA), executive function (Stroop interference), and processing speed (TMT A) following yoga</td>
</tr>
<tr>
<td>Oken 2006, USA [45]</td>
<td>N = 118 Mean age (SD): yoga group = 71.5 (4.9); exercise group = 73.6 (5.1) years; waitlist group = 71.2 (4.4) years</td>
<td>Iyengar yoga, 1 time per week, 90 min per session (along with home practice), 26 weeks, moderate intensity level reported</td>
<td>IC: Waitlist AC: Aerobic exercise: 1 time per week, 60 min per session (along with home practice), 26 weeks, moderate intensity level.</td>
<td>Daily home practice was encouraged</td>
<td>The attendance rate for the yoga group was 78 % for weekly classes, and 64 % of the daily home exercise, with an average duration of 38 min a week Control group rates were 69 % for group classes, and 54 % of the daily home-exercise, with an average duration of 56 min a week</td>
<td>Cognitive functions of attention (focusing, shifting, dividing, and sustaining attention), and alertness. Tests: Stroop test, EEC tests</td>
<td>No effect of yoga compared to the aerobic exercise of waitlist control group</td>
</tr>
<tr>
<td>Pandya 2018, India [47]</td>
<td>N = 792 Mean age (SD): yoga group = 62.0 (5.39) years</td>
<td>Hatha yoga, 1 time per week, 40 min per session (along with home practice), 260 weeks, no intensity level reported</td>
<td>IC: Waitlist</td>
<td>Group classes with yoga instructor</td>
<td>Home practice was encouraged</td>
<td>Cognitive functions of orientation, registration, attention, recall, language, repetition, and complex commands, and</td>
<td>Significant improvements in the MMSE test, and RBMT-3 test following yoga (continued on next page)</td>
</tr>
</tbody>
</table>
A variety of cognitive test batteries and assessments were reported. All trials assessed cognition at pre-intervention and post-intervention.

Adherence to the yoga-based interventions were reported by instructors in three studies, and through self-reports in one study. Three studies did not specify the method of reporting. Three of the studies (two unique trials) included an active control group comprising exercise; one was a stretching-strengthening group which showed similar adherence rates to the yoga group, and the other an aerobic exercise group showing lower adherence rates than the yoga group. However, difference between the yoga and the exercise group did not reach statistical significance.

Adverse events were reported in three out of the six included studies. One study reported a single adverse event in both the yoga and aerobic exercise groups, respectively. Adverse events were mild muscle-skeletal injuries. Three studies reported no adverse events.

### 3.3. Effects on cognition

Four studies reported significant effects of the yoga-based intervention on cognition from pre-to post-intervention, and two did not. Changes favoring the yoga-based intervention compared to control were found for working memory capacity, visual and verbal memory, and efficiency of mental set shifting and flexibility, improved attentional and information processing abilities. Additionally, significant improvements following a yoga-based intervention were found in gross memory functioning and visual, verbal, recall, recognition, immediate and delayed everyday memory.

In an 8-week trial involving 118 healthy community-dwelling older adults, Gothe et al. assessed executive functions by comparing a yoga-based intervention to stretch-strengthening exercises. Compared to the stretch-strengthening group, there was a significant pre-post improvement in working memory capacity, and efficiency of mental set shifting and flexibility, following the yoga-based intervention, with effect sizes ranging from small to moderate. Another article from the same 8-week trial reported significant improvements following the yoga-based intervention in attentional and information processing abilities, with medium to large effect sizes. Hariprasad et al. conducted a six-month trial involving 87 aged-care residents and reported significant pre-post improvements in working memory capacity, visual and verbal memory and information processing, following the yoga-based intervention compared to a no-intervention control group. In a five year trial involving 792 older adults, Pandya reported significant improvements following a yoga-based intervention in gross memory functioning and visual, verbal, recall, recognition, immediate, and delayed everyday memory (medium effect sizes). Additionally, regular attendance and self-practice were reported as strong moderators of the efficacy of the yoga-based intervention on cognitive function.

Oken et al. conducted a six-month trial (n = 118) comparing a yoga-based intervention to both walking exercise and a waitlist control group. No significant effects of the yoga-based intervention were found on cognitive functions or alertness measures. Similarly, Cekanauskaite et al. also reported no significant effect following a 10-week yoga-based intervention on cognition in 33 older adults.

### 3.4. Risk of bias results

A summary of the risk of bias assessment of each study is presented in Fig. 2. Each study was assessed based on the obtained journal article, and the reviewers assessed the effect of assignment to intervention. Three of the assessed domains (deviations from intended interventions, measurement of the outcome, and selection of the reported result) were judged with ‘some concern’ or ‘high’ risk of bias in the majority of the included articles, which resulted in an overall ‘high’ risk of bias for all studies. This was mainly due to the lack of prospectively registered protocols and the absence of information regarding the signaling questions for each domain. None of the included studies were able to blind the participants or yoga instructors from the intervention, therefore this parameter was not included in our risk of bias assessment. Further detailed information is provided as Supplementary material 2.

### 4. Discussion

#### 4.1. Summary of evidence

The main aim of this systematic review was to summarize evidence from RCTs reporting the effects of a yoga-based intervention on cognitive functioning in healthy older adults. Four of the six reviewed articles reported significant improvements following engagement with a yoga-based intervention in several aspects of cognitive functioning including: attention, processing speed, memory, and executive function. Effect sizes were reported in three of the four articles (range = small to large). In the two studies where a yoga–based interventions were not found to impact cognitive functioning in healthy older adults, the authors hypothesized that this occurred because all the participants were healthy and had good cognitive function at baseline (ceiling effect). However, in the three articles where yoga was found to influence cognition, participants also did not report any cognitive impairments at baseline. This current review excluded studies with participants recruited on the basis of a specific disease or medical condition. However, in the Hariprasad et al. study, where yoga was found to influence cognition, most of the participants reported subjective memory complaints at baseline. This occurred despite the researchers initial screening and exclusion of individuals with symptoms of dementia, depression, and psychiatric disorders. This is consistent with findings in a recent scoping review by Gretchen and colleagues which showed improved cognitive function among older adults with mild cognitive impairment following a yoga-based intervention. The other three articles, where yoga was found to influence cognition, did not report any cognitive impairment among participants at baseline. Future studies could address this issue by using appropriate screening methods and by stricter inclusion and exclusion criteria, as well as
specifying population characteristics in the results. Another recent systematic review that examined yoga-based intervention effect on cognition and mental health in elderly populations drew a similar conclusion, naming the use of valid screening tools as a key component for future research.

The yoga-based intervention used by Čekanauskaitė et al. did not impact self-reported stress levels measured by the Perceived Stress Scale. Indeed, previous work shown that objectively measured stress (cortisol), and self-reported stress and anxiety symptoms, mediate the impact of yoga on cognition. The ability of yoga-based practices to decrease stress, as indicated by down-regulation of the sympathetic nervous system and the hypothalamic-pituitary-adrenal (HPA) axis is well-supported in the literature. In their meta-analysis, Gothe & McAuley argue for future research to examine the effect of yoga on the stress response in relation to cognitive functioning, which is a limitation in the current work and an important area for future research.

A secondary aim of this review was to examine the characteristics of yoga-based interventions, and to describe any associations between these criteria and cognitive outcomes. Shorter duration interventions reported higher adherence compared to longer interventions, however there were no significant differences in adherence rates between yoga groups and the exercise-based control groups, indicating that yoga is equally acceptable to older adults as other forms of exercise. Only one study reported that adherence and self-practice moderated the effect on cognitive function. We advocate that future studies should report adherence to the yoga programs, specifying the definition applied. Specifically, objective assessments of intervention adherence should be used where possible, and the impact of supervised compared to non-supervised programs on adherence should be further explored.

The yoga-based intervention varied with regard to the style of practice delivered, frequency and duration of classes, and the length of intervention. The proportion of each component (physical postures, breathing, and meditation exercises) were not specified in any studies. This is important to address because some breathing and meditation exercises are unique to yoga and may impact study findings. Intensity levels were not reported in any of the included studies. Given that the intensity of physical activity has been shown to be important in determining cognitive improvements following other exercise interventions, we propose that the intensity of yoga-based physical activity should be considered in the design and reporting of future studies. Indeed, different styles of yoga differ in their intensity level and the relationship between heart rate and oxygen consumption in yoga-based exercise is non-linear (compared to the linear relationship in aerobic exercise), which suggests there is a need to create a standard for reporting intensity levels from yoga interventions. We support this recommendation and previous work which highlights an overall need for better reporting of intervention characteristics. We suggest that reporting should follow the TIDieR template for intervention description and replication. As Etier and colleagues point out; “a better understanding of underlying mechanisms and accurate reporting and coding of variables will serve future research within this field”.

### 4.2. Strengths and limitations

This is the first systematic review to study the impact of yoga-based interventions on cognitive function in healthy older adults. Strengths of the study include: i) the assessment of intervention characteristics using the FITT criteria; ii) the review was conducted in concordance with an established systematic review framework and reported using PRISMA guidelines; and iii) the inclusion of only RCTs helped ensure that the reviewed studies are consistent with best practice recommendations.

Some potential limitations are also acknowledged. As only six studies were included and these were heterogeneous, both in terms of study design and outcomes, our ability to draw definitive conclusions regarding effects of yoga-based interventions on cognitive functioning in this population is limited. The majority of studies had relatively low numbers of participants. Three studies included an active comparison group, while three included a waitlist or a no-intervention control group. Therefore, in half the studies reviewed, it is unclear whether the effects are attributable to the yoga-based intervention or to non-specific factors, such as time/attention effects. Furthermore, we only included studies published in English, and therefore might have overlooked research published in other languages. Finally, none of the reviewed studies assessed cognitive function at multiple follow-up time points; therefore the long term impact of yoga-based interventions on cognition are unknown.

### 5. Conclusions

Yoga-based interventions show potential to improve cognition in healthy older adults. However, there are currently few published studies on the topic, all with a high risk of bias. Adequately powered RCTs with robust study designs and long-term follow-ups are required using validated screening tools and cognitive assessments. Future studies should explicitly report the intervention characteristics, and where possible, demonstrate how these moderate study outcomes. Yoga-based exercise includes physical components that are important for healthy ageing, such as balance and flexibility. Given the ageing of populations worldwide, the application of yoga to help prevent and treat cognitive decline warrants further investigation.

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Authors’ contributions

MH conceived the review and drafted the research plan with input from JØ. The Karolinska University Library performed the systematic searches. SH and JØ performed the screening and selection of articles and the risk of bias assessments, in which MH was consulted in case of ambiguities. SH compiled the results of the included articles as well as of the risk of bias assessment and wrote the manuscript. All co-authors contributed to the subsequent revisions and read and approved the final manuscript of the review.

Declaration of Competing Interest

None of the authors have any competing interests.

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Supplementary material

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